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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |                      | 10680734   |  |
|                                                                                                     | Filing Date            |                      | 2003-10-08 |  |
|                                                                                                     | First Named Inventor   | Goldenberg, David M. |            |  |
|                                                                                                     | Art Unit               | 1643                 |            |  |
|                                                                                                     | Examiner Name          | Bristol, Lynn Anne   |            |  |
|                                                                                                     | Attorney Docket Number | 329241               |            |  |

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/Lynn Bristol/

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|------|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| /LB/ | 1  | SHARKEY et al. "Murine Monoclonal Antibodies against Carcinoembryonic Antigen: Immunological, Pharmacokinetic, and Targeting Properties in Humans" Cancer Res., 50:2823-2831 (1990)                                                                                | <input type="checkbox"/> |
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|      | 4  | WONG et al. "A Phase I Radioimmunotherapy Trial Evaluating 90Yttrium-labeled Anti-Carcinoembryonic Antigen (CEA) Chimeric T84.66 in Patients with Metastatic CEA-producing Malignancies" Clin. Can. Res. 6:3855-3863 (2000)                                        | <input type="checkbox"/> |
|      | 5  | WONG et al. "A Phase I Trial of 90Y-Anti-Carcinoembryonic Antigen Chimeric T84.66 Radioimmunotherapy with 5-Fluorouracil in Patients with Metastatic Colorectal Cancer" Clin. Can. Res. 9:5842-5852 (2003)                                                         | <input type="checkbox"/> |
|      | 6  | WONG et al. "Pilot Trial Evaluating an 123I-Labeled 80-Kilodalton Engineered Anticarcinoembryonic Antigen Antibody Fragment (cT84.66 Minibody) in Patients with Colorectal Cancer" Clin. Can. Res. 10:5014-5021 (2004)                                             | <input type="checkbox"/> |
|      | 7  | BEGENT et al. "Clinical Evidence of Efficient Tumor Targeting Based on Single-Chain Fv Antibody Selected from a Combinatorial Library" Nat. Med. 2:979-984 (1996)                                                                                                  | <input type="checkbox"/> |
|      | 8  | MAYER et al. "Radioimmunoguided Surgery in Colorectal Cancer Using a Genetically Engineered Anti-CEA Single-Chain Fv Antibody" Clin. Can. Res. 6:1711-1719 (2000)                                                                                                  | <input type="checkbox"/> |
|      | 9  | BECKMAN et al. "Antibody Constructs in Cancer Therapy" Cancer 109:170-179 (2007)                                                                                                                                                                                   | <input type="checkbox"/> |
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|      | 11 | PASCALIS et al. "Grafting of "Abbreviated" Complementarity-Determining Regions Containing Specificity-Determining Residues Essential for Ligand Contact to Engineer a Less Immunogenetic Humanized Monoclonal Antibody" Journal of Immunology 169:3076-3084 (2002) | <input type="checkbox"/> |

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|------|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| /LB/ | 12 | VAJDOS et al. "Comprehensive Functional Maps of the Antigen-binding Site of an Anti-ErbB2 Antibody Obtained with Shotgun Scanning Mutagenesis" J. Mol. Biol. 320:415-428 (2002) | <input type="checkbox"/> |
| /LB/ | 13 | WU et al. "Humanization of a Murine Monoclonal Antibody by Simultaneous Optimization of Framework and CDR Residues" J. Mol. Biol. 294:151-162 (1999)                            | <input type="checkbox"/> |

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